

Format of holding of specified securities

1. Name of Listed Entity: N.B.I. INDUSTRIAL FINANCE CO. LTD. (CIN:L65923WB1936PLC065596)

- 2. Scrip Code 014019 Name of Scrip :- Class of Security Equity
- 3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

For N.B.I. Industrial Finance Co. Ltd.

 Director

N.B.I. INDUSTRIAL FINANCE CO. LTD.

Table 1 - Summary Statement holding of specified securities as on 30/09/2016

Category of shareholder (I)	Nos. of shareholder shares held (II)	No. of fully paid up equity shares held (IV)	No. of Party paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (VIII) Calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of shares underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
							Class eg: x	Total			As a % of total shares held (b)	As a % of total shares held (b)		
(A) Promoter & group	7	737102	0	0	737102	60.0049	737102	0	737102	60.0049	0	0.0000	0	737102
(B) Public	4968	491301	0	0	491301	39.9951	491301	0	0	39.9951	0	0.0000	NA	232346
(C) Non Promoter - Non Public													NA	
(C1) Shares underlying DRS						NA							NA	
(C2) Shares held by Employee Trusts													NA	
Total	4975	1228403	0	0	1228403	100.0000	1228403	0	1228403	100.0000	0			969448

For N.B.I. Industrial Finance Co. Ltd.



Director

N.B.I. INDUSTRIAL FINANCE CO. LTD.
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2016

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	Number of Voting Rights held in each class of securities (IX)			(10)	(11)	(12)	(13)	(14)	(15)				
								No. of Voting Rights	Class x	Class y							Total			
(a)	Individuals/Hindu undivided Family	PAN	(ii)	Nos. of shares held (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957. As % of (A+B+C2) (viii)	Class x	Class y	Total	Total as a % of Total Voting rights (x)	No. of convertible securities outstanding (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(V)/(X) As a % of (A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	Number of equity shares held in dematerialized form (XIV)
(b)	BENU GOPAL BANGUR Central Government/State Government(s)	ADRPB5687N		1	104100	0	0	104100	8.4744	104100	0	104100	8.4744	0	8.4744	0	0.0000	0	0.0000	104100
(c)	Financial Institutions/Banks																			
(d)	Any Other (Specify)																			
	Bodies Corporate			6	633002	0	0	633002	51.5305	633002	0	633002	51.5305	0	51.5305	0	0.0000	0	0.0000	633002
	SHREE CAPITAL SERVICES LTD		AAGCS082D	1	236000	0	0	236000	19.2119	236000	0	236000	19.2119	0	19.2119	0	0.0000	0	0.0000	236000
	DIGVIJAY FINLEASE LTD		AAACD8988D	1	235739	0	0	235739	19.1907	235739	0	235739	19.1907	0	19.1907	0	0.0000	0	0.0000	235739
	THE DIDWANA INVESTMENT COMPANY LIMITED		AA8CT1524C	1	65394	0	0	65394	5.3235	65394	0	65394	5.3235	0	5.3235	0	0.0000	0	0.0000	65394
	MANNAKRISHNA INVESTMENTS PVT LTD		AACGM2323H	1	25000	0	0	25000	2.0352	25000	0	25000	2.0352	0	2.0352	0	0.0000	0	0.0000	25000
	WESTERN INDIA COMMERCIAL CO LTD		AAACW2354C	1	8625	0	0	8625	0.7021	8625	0	8625	0.7021	0	0.7021	0	0.0000	0	0.0000	8625
	ASISH CREATIONS PRIVATE LIMITED		AAACA3806D	1	62244	0	0	62244	5.0671	62244	0	62244	5.0671	0	5.0671	0	0.0000	0	0.0000	62244
	Sub Total			7	737102	0	0	737102	60.0049	737102	0	737102	60.0049	0	60.0049	0	0.0000	0	0.0000	737102
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)																			
(a)	Government																			
(b)	Institutions																			
(c)	Foreign Portfolio Investor																			
(d)	Any Other (Specify)																			
(e)	Sub Total			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group			7	737102	0	0	737102	60.0049	737102	0	737102	60.0049	0	60.0049	0	0.0000	0	0.0000	737102

For N.B.I. Industrial Finance Co. Ltd.

Director

Director

Category & Name of the Shareholders (i)	PAN (ii)	Nos. of shareholder shares held (iii)	No. of fully paid up equity shares held (iv)	Party paid-up equity shares held (v)	Nos. of underlying Depository Receipts (vi)	Total nos. shares held (v)+(vi)	Shareholding % as per SCRR, 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)		Total rights (x)	No of shares convertible (including Warrants) (xi)=(vii)+(x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xii)=(vii)+(xi)	Number of Locked in shares (xiii)		As a % of total shares held (a)	No. of shares held (b)	As a % of total shares held in dematerialized form (xiv)
								No of Voting Rights (i)	Class x				Number of shares pledged or otherwise encumbered (xiii)	No. (a)			
Shareholders (i)	PAN (ii)	Nos. of shareholder shares held (iii)	No. of fully paid up equity shares held (iv)	Party paid-up equity shares held (v)	Nos. of underlying Depository Receipts (vi)	Total nos. shares held (v)+(vi)	Shareholding % as per SCRR, 1957) As a % of (A+B+C2) (viii)	No of Voting Rights (i)	Class x	Total rights (x)	No of shares convertible (including Warrants) (xi)=(vii)+(x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xii)=(vii)+(xi)	Number of Locked in shares (xiii)	No. (a)	As a % of total shares held (a)	No. of shares held (b)	As a % of total shares held in dematerialized form (xiv)
(1) Institutions / Mutual Funds / UTI																	
(b) Venture Capital Funds																	
(c) Alternate Investment Funds																	
(d) Foreign Venture Capital Investors																	
(e) Foreign Portfolio Investors																	
(f) Financial Institutions / Banks		11	792	792	0	792	0.0645	792	792	0.0645	0	0.0645	0	0.0000	NA	0	
(g) Insurance Companies																	
(h) Provident Funds / Pension Funds																	
(i) Any Other (specify)																	
Sub Total		11	792	792	0	792	0.0645	792	792	0.0645	0	0.0645	0	0.0000	NA	0	
(2) Central Government / State Government(s) / President of India		1	7	7	0	7	0.0006	7	7	0.0006	0	0.0006	0	0.0000	NA	0	
(3) Non-Institutions																	
(a) Individuals-																	
i. Individual shareholders holding nominal share capital upto Rs. 2 lakhs		4799	280255	280255	0	280255	22.8146	280255	280255	22.8146	0	22.8146	0	0.0000	NA	0	48292
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		1	44630	44630	0	44630	3.6332	44630	44630	3.6332	0	3.6332	0	0.0000	NA	0	44630
MAHENDRA GIRDHARILAL	AAAPW1327L	1	44630	44630	0	44630	3.6332	44630	44630	3.6332	0	3.6332	0	0.0000	NA	0	44630
NBFCs registered with RBI																	
(c) Employee Trusts																	
(d) Overseas Depositors (holding DRs) (balancing figure)																	
(e) Any Other (specify)																	
Bodies Corporate		156	165617	165617	0	165617	13.4823	165617	165617	13.4823	0	13.4823	0	0.0000	NA	0	139424
MAN-MADE FIBRES PVT. LTD	AAACM3042R	1	60950	60950	0	60950	4.9617	60950	60950	4.9617	0	4.9617	0	0.0000	NA	0	60950

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016.

N.B.I. INDUSTRIAL FINANCE CO. LTD.

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016

Category & Name of the Shareholders (i)	PAN (ii)	Nos. of sharehold ers (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculate d as per SCRR, 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of shares convertible underlying securities (including Warrants) (x)	Shareholding as a % assuming full conversion of securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of (A+B+C2)	Number of locked in shares (xii)	No. of shares pledged or otherwise encumbered (xiii)	As a % of total shares held in dematerialized form (xiv)
								Class x	Class y	Total					
KEEN INVESTMENT AND LEASING LIMITED	AAACK7707M	1	51688	0	0	51688	4.2077	51688	0	0	4.2077	0	0.0000	NA	51688
RESHAM RESHA PVT. LTD	AAACR5056L	1	15500	0	0	15500	1.2618	15500	0	0	1.2618	0	0.0000	NA	15500
Sub Total		4956	490502	0	0	490502	39.9301	490502	0	0	39.9301	0	0.0000	NA	232346
Total Public Shareholding		4968	491301	0	0	491301	39.9951	491301	0	0	39.9951	0	0.0000	NA	232346

For N.B.I. Industrial Finance Co. Ltd.

Director

N.B.I. INDUSTRIAL FINANCE CO. LTD.													
Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareh													
as on 30/09/2016													
Category & Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Party paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total shares held (VII)=(IV)+(V)+(VI)	Shareholding % as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % of the total convertible securities assuming full conversion of diluted share capital (XI)=(VII)+(X)	As a % of application (Not held equity shares dematerialized (XIV)	As a % of total shares held (b) (a)	
							No of shares (III)	Number of Locked in shares (XII)	Number of pledged or otherwise encumbered (XIII)				No. of shares held (a)
(1) Custodian / DR Holder													
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)													
(2) Total Non Promoter - Non Public Shareholding (C)=(A)+(B)+(C)(2)													

For N.B.I. Industrial Finance Co. Ltd.

Director

Director

For N.B.I. Industrial Finance Co. Ltd.

N.B.I. INDUSTRIAL FINANCE CO. LTD.			
Distribution Schedule as on 30/09/2016			
Share Holding	No of Holders	% age	No of Shares
% age			
Upto 50	3904	78.4724	42473
51 to 100	567	11.3970	49490
101 to 150	114	2.2915	14225
151 to 250	181	3.6382	36108
251 to 500	132	2.6533	51116
501 to 5000	62	1.2462	94721
Above 5000	15	0.3015	940270
Grand Total	4975	100.0000	1228403
Distribution Schedule as on 30/09/2016			
Share Holding	No of Holders	% age	No of Shares
% age			
Upto 500	4898	98.4523	193412
501 to 1000	31	0.6231	23022
1001 to 2000	12	0.2412	16043
2001 to 3000	13	0.2613	32322
3001 to 4000	5	0.1005	18334
4001 to 5000	1	0.0201	5000
5001 to 10000	4	0.0804	28425
Above 10000	11	0.2211	911845
Grand Total	4975	100.0000	1228403
Distribution Schedule as on 30/09/2016			
Notional Value of (Rs.)	No of Holders	% age	Amount (Rs.)
% age			
Upto 5000	4898	98.4523	1934120
5001 to 10000	31	0.6231	230220
10001 to 20000	12	0.2412	160430
20001 to 30000	13	0.2613	323220
30001 to 40000	5	0.1005	183340
40001 to 50000	1	0.0201	50000
50001 to 100000	4	0.0804	284250
Above 100000	11	0.2211	9118450
Grand Total	4975	100.0000	12284030